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EXPRESS MAIL CERTIFICATE

Date 8-23-02 Label No. EV 186684925-US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Name (Print) D. Davis Signature D. Davis

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PATENT TRADEMARK OFFICE

Docket No.: 3551/11890

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stephen H. Elliott; William Alan Klingbeil

Serial No.: 10/081,918 ✓

Confirmation No.: 2195

Filed: February 20, 2002

For: PEER-TO-PEER CONTROL AND DECISION MAKING SYSTEM

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued August 6, 2002 for the International counterpart of the present patent application (Application No. PCT/US02/05391), in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

The undersigned is also enclosing herewith an English translation of each of the foreign language documents identified in Form PTO-1449. It is believed that the applicant in the above-identified patent application has therefore met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed

before a first action on the merits has been mailed.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

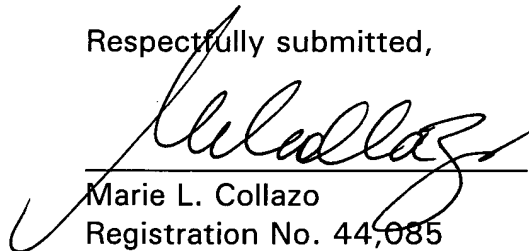
Early and favorable consideration is earnestly solicited.

Dated: August 23, 2002

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Serial No. 10/081,918

Respectfully submitted,



Marie L. Collazo  
Registration No. 44,085

Docket No. 3551/11300

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 3551/11300 SERIAL NO: 10/081,918  
APPLICANT: Stephen H. Elliott FILING DATE: February 20, 2002  
CONFIRMATION NO: 2195

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
	1. 5,666,291	09/09/97	Scott et al.	395	200.8	06/02/94
	2. 5,745,159	04/28/98	Wax et al.	348	8	05/11/95
	3. 5,936,318	08/10/99	Weiler et al.	307	66	06/14/97
	4. 5,973,722	10/26/99	Wakai et al.	348	8	09/16/96
	5. 6,249,913	06/19/01	Galipeau et al.	725	76	10/04/95
	6. 5,570,002	10/29/96	Castleman	323	283	02/18/94
	7. 5,942,811	08/24/99	Stumfall et al.	307	64	07/28/97
	8. 6,054,846	04/25/00	Castleman	323	283	10/29/96
	9. 6,016,016	01/18/00	Starke et al.	307	9.1	05/28/98
	10. 5,615,105	03/25/97	Tofigh et al.	364	138	07/07/94

**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES NO</u>
	11. 1 028 512	08/16/00	Europe	H02J	4/00	Abstract

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

\*EXAMINER  
INITIALS

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.